

SUBSTITUTE DECLARATION FOR PATENT APPLICATION

Docket Number (Optional)
MISTY-41920

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
PORTABLE MIST COOLING DEVICE, the specification of which

is attached hereto unless the following box is checked:

☒ was filed on 2/19/97 as United States Application Number or PCT International Application
Number 08/802,072 and was amended on 8/4/97 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)	(Country)	(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112,

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number)	(Filing Date)	Patented
		(Status -- patented, pending, abandoned)
<u>07/927,231</u>	<u>8/7/92</u>	

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: James W. Paul, Reg. #29,967; David G. Parkhurst, Reg. #29,967; Thomas H. Mascher, Reg. #31,119; Thomas A. Runk, Reg. #30,675 and Gilbert C. Kovelman, Reg. #19,552
Address all telephone calls to James W. Paul at telephone number (310) 824-5555
Address all correspondence to James W. Paul
Fulwider, Patton, Lee & Utecht, LLP
10877 Wilshire Boulevard, Tenth Floor
Los Angeles, California 90024

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) STEVEN M. UTTER
Inventor's signature [Signature] Date 11/14/97
Residence 481 N. Longmore Street, Chandler, AZ Citizenship USA
Post Office Address Same as Residence AZ 85224

Full name of second joint inventor, if any (given name, family name)
Second inventor's signature Date
Residence Citizenship
Post Office Address

☐ Additional inventors are being named on separately numbered sheets attached hereto.

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37) CFR 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR

Applicant or Patentee: Steve Utter
Serial or Patent No.:
Filed or Issued:
For:

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposed of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-entitled invention described in:

☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation, under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ no such person, concern or organization
☐ persons, concerns or organizations listed below

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME:

ADDRESS:

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Inventor: Steven Utter

Signature: Steve Utter Date 10-17-94